

Hong Kong Stock Market Charges Schedule

Effective Date : 01/05/2016

Basic Transaction Charges		
Service Items	Charges Details	
Brokerage	Phone Trading	0.2% of transaction amount (Min. HK\$ 100)
	Online Trading (Web / Mobile)	0.165% of transaction amount (Min. HK\$ 100)
Government & Other Related Charges		
Stamp Duty	0.1% per HK\$ 1,000 (Min. HK\$ 1)	
Trading Fee (SEHK)	0.005% of transaction amount	
Transaction Levy (SFC)	0.0027% of transaction amount	
Settlement Fee	0.005% of transaction amount (Min. HK\$ 3 & Max. HK\$ 300)	
Trading Tariff	HK\$ 0.5 per trade unit	

Scrip Handling of Other Transaction Services		
Service Items	Charges Details	
Stock Deposit - Physical Shares	HK\$ 5 per certificate / form of transfer	
Stock Withdrawal - Physical Shares	HK\$ 5 per lot (plus handling fee HK\$ 100)	
SI Fee – via CCASS		
Stock Deposit	Free	
Stock Withdrawal	0.01% of market value (plus handling fee HK\$ 100)	
ISI Fee – via Investor Participant		
Stock Deposit	Free	
Stock Withdrawal	HK\$ 20 per transaction	

Nominee Services & Corporate Actions		
Service Items	Charges Details	
Scrip Fee	HK\$ 2.5 per lot	
Dividend Collection Fee	Scrip fee HK\$ 2.5 per lot + 0.5% of dividend amount (Min. HK\$ 30 & Max. HK\$ 10,000)	
Bonus Collection Fee	Scrip fee HK\$ 2.5 per lot (plus handling fee HK\$ 50)	
Rights Collection Fee	Scrip fee HK\$ 2.5 per lot (plus handling fee HK\$ 20)	
Rights Subscription / Warrants Exercise	Corporate action fee HK\$ 1.2 per lot (plus handling fee HK\$ 50)	
Excess Rights Subscription	HK\$ 50 per application	
Preferential Offer / Cash Offer	Corporate action fee HK\$ 1.2 per lot (plus handling fee HK\$ 50 + other fees)	

Others		
Service Items	Charges Details	
Yellow Form/Electronic Application For IPO	HK\$ 50 per application	
Margin IPO / Placing Handling Fee	HK\$ 100 per application	
Shares Buy-In Handling Fee	HK\$ 100 + CCASS fee	
Dividend Claim	HK\$ 800 per application	
Returned Cheque / Stop Payment Handling Fee	HK\$ 150 per application	
Remittance / CHATS Handling Fee	HK\$ 300 per application	
Reprint Statement Within 3 Months	Free	
Reprint Statement Over 3 Months	HK\$ 50 per application	
Interest For Late Payment		
Cash Account	10% annual interest rate	
Margin Account	8% annual interest rate	

Real Time Price Quote		
Prestige Real Time Quotation Charges	HK\$ 180 per month (China Region)	
	HK\$ 360 per month (HK & Overseas)	