

Hong Kong Stock Market Charges Schedule

Effective Date : 01/11/2018

Basic Transaction Charges		
Service Items	Charges Details	
Brokerage	Phone Trading	0.2% of transaction amount (Min. HK\$ 100)
	Online Trading (Web / Mobile)	0.165% of transaction amount (Min. HK\$ 100)
Government & Other Related Charges		
Stamp Duty	0.1% per HK\$ 1,000 (Min. HK\$ 1)	
Trading Fee (SEHK)	0.005% of transaction amount	
Transaction Levy (SFC)	0.0027% of transaction amount	
Settlement Fee	0.005% of transaction amount (Min. HK\$ 3 & Max. HK\$ 300)	
Trading Tariff	HK\$ 0.5 per trade unit	

Scrip Handling of Other Transaction Services	
Service Items	Charges Details
Stock Deposit - Physical Shares	HK\$ 5 per certificate / form of transfer
Stock Withdrawal - Physical Shares	HK\$ 5 per lot (plus handling fee HK\$ 100)
SI Fee – via CCASS	
Stock Deposit	Free
Stock Withdrawal	0.01% of market value (plus handling fee HK\$ 100)
ISI Fee – via Investor Participant	
Stock Deposit	Free
Stock Withdrawal	HK\$ 20 per transaction

Nominee Services & Corporate Actions		
Service Items	Charges Details	
Scrip Fee	HK\$ 2.5 per lot	
Dividend Collection Fee	Scrip fee HK\$ 2.5 per lot + 0.5% of dividend amount (Min. HK\$ 30 & Max. HK\$ 10,000)	
Bonus Collection Fee	Scrip fee HK\$ 2.5 per lot (plus handling fee HK\$ 50)	
Rights Collection Fee	Scrip fee HK\$ 2.5 per lot (plus handling fee HK\$ 20)	
Rights Subscription / Warrants Exercise	Corporate action fee HK\$ 1.2 per lot (plus handling fee HK\$ 50)	
Excess Rights Subscription	HK\$ 50 per application	
Preferential Offer / Cash Offer	Corporate action fee HK\$ 1.2 per lot (plus handling fee HK\$ 50 + other fees)	

Others	
Service Items	Charges Details
Yellow Form/Electronic Application For IPO	HK\$ 50 per application
Margin IPO / Placing Handling Fee	HK\$ 100 per application
Shares Buy-In Handling Fee	HK\$ 100 + CCASS fee
Dividend Claim	HK\$ 800 per application
Returned Cheque / Stop Payment Handling Fee	HK\$ 150 per application
Remittance / CHATS Handling Fee	HK\$ 300 per application
Reprint Statement Within 3 Months	Free
Reprint Statement Over 3 Months	HK\$ 50 per application
Interest For Late Payment	
Cash Account	10% annual interest rate
Margin Account	8% annual interest rate

Real Time Price Quote		
Prestige Real Time	HK\$ 180 per month (China Region)	
Quotation Charges	HK\$ 360 per month (HK & Overseas)	